

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		Attorney Docket Number <div style="text-align: center; font-weight: bold;">59150.8010</div>
International Application No. <div style="text-align: center; font-weight: bold;">PCT/JP01/00782</div>		International Filing Date <div style="text-align: center; font-weight: bold;">02 February 2001</div>
Title of Invention <div style="text-align: center; font-weight: bold;">VIRUS ENVELOPE VECTOR FOR GENE TRANSFER</div>		U.S. Application No. (if known, see 37 CFR §1.5) <div style="text-align: center; font-size: 1.5em; font-weight: bold;">09/937839</div> <div style="text-align: center; font-weight: bold;">Not yet Assigned</div>
Applicant(s) for DO/EO/US <div style="text-align: center; font-weight: bold;">Yasufumi Kaneda</div>		Priority Date Claimed <div style="text-align: center; font-weight: bold;">02 February 2000</div>

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1)
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ has been transmitted by the International Bureau
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2))
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made, however, the time limit for making such amendments has NOT expired
 - d. ☒ have not been made and will not be made
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5))

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR §1.97 and §1.98
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included
13. ☒ A **FIRST** preliminary amendment

☐ A **SECOND** or **SUBSTITUTE** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of Power of Attorney and/or Address letter

U.S. Application No. (if known, see 37 CFR 41.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">09/937839</div> Not yet Assigned	International Application No. <div style="text-align: center; font-weight: bold;">PCT/JP01/00782</div>	Attorney's Docket No. <div style="text-align: center; font-weight: bold;">59150.8010</div>
---	---	---

17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but international search fee (37 CFR §1.445(a)(2)) paid to USPTO \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<div style="text-align: center; font-weight: bold;">CALCULATIONS</div> <div style="text-align: right; font-size: 8pt;">PTO USE ONLY</div>
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claim priority date (37 CFR §1.492(e))	<div style="text-align: right;">\$</div>

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	36 - 20 =	16	x \$ 18.00	\$288.00	
Independent Claims	7 - 3 =	4	x \$ 80.00	\$320.00	
Multiple Dependent Claim(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1468.00	

<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2	\$
SUBTOTAL =	\$1468.00

Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR §1.942(f))	\$
Fee for recording the enclosed assignment (37 CFR §1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR §3.28, §3.31) \$40.00 per property	\$
TOTAL FEES DUE =	\$1468.00

	Amount to be refunded	\$
	charged	\$

a. <input type="checkbox"/> A check in the amount of \$* to cover the filing fees is enclosed b. <input checked="" type="checkbox"/> Please charge Deposit Account No. <u>50-0665</u> in the amount of <u>\$1468.00</u> to cover the above fees. This sheet is provided in triplicate. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0665</u> .	<div style="text-align: center;"> <div style="font-size: 8pt;">Signature</div> <div style="font-weight: bold;">Jacqueline F. Mahoney</div> <div style="font-weight: bold;">Registration No. 48,360</div> </div>
--	---

SEND ALL CORRESPONDENCE TO

 Perkins Coie LLP
 P.O. Box 2168
 Menlo Park, CA 94026

NOTE: Where an appropriate time limit under 37 CFR §1.494 or §1.495 has not been met, a petition to revive (37 CFR §1.137(a) or (b)) must be filed and granted to restore the application to pending status.